

# Low sluicing in Turkish is VPE \*

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## 1 Overview

This talk is concerned with an ellipsis construction in Turkish –*low sluicing*– that resembles sluicing on the surface but aligns more closely with VPE.

### Sluicing is

- a construction where a question consisting only of a wh-phrase receives the full interpretation conveyed in a preceding sentence (Ross, 1969)

(1) *correlate* *wh-remnant*  
 Somebody<sub>i</sub> just left– guess [<sub>CP</sub> who<sub>i</sub> [<sub>TP</sub> \_\_\_\_]].  
*antecedent* *ellipsis site* Ross (1969)

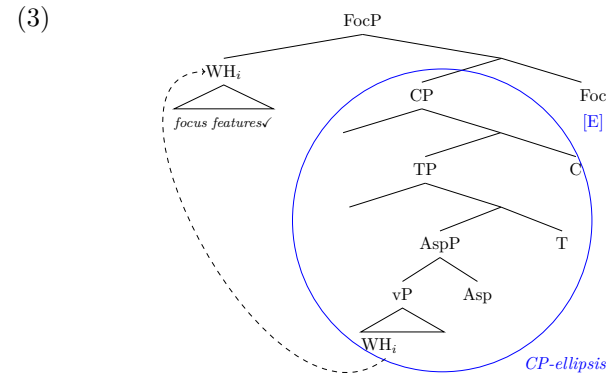
- prominently explained by a *movement and deletion* approach in which a TP is elided at PF under *some* identity with another TP after wh-extraction (Merchant, 2001).

### Turkish has two ellipsis constructions that resemble sluicing:

(2) Yağmur *biri-ne<sub>i</sub>* kız-dı.  
 Yağmur somebody-DAT get.mad-PST  
 ‘Yağmur got mad at someone.’

- a. *Kim-e<sub>i</sub>?*  
 who-DAT  
 ‘Who?’ *high sluicing*
- b. *Kim-e<sub>i</sub>-y-di?*  
 who-DAT-COP-PST  
 INT. ‘Who?’ *low sluicing*

- For reasons that will become clear later, I refer to (2a) as **high sluicing** and (2b) as **low sluicing**, with *high* and *low* reflecting the size of the ellipsis site.
- The key surface difference between high sluicing and low sluicing lies in the wh-remnants:
  - remnants include *copula* (aka auxiliary) and tense besides case in low sluicing.
  - remnants bear only case in high sluicing which I assume involves wh-movement and deletion following Ince (2012) as in English (Merchant, 2001).<sup>1</sup>



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<sup>1</sup>It has been argued that wh-movement in in-situ languages occurs exceptionally in sluicing to realize focus (e.g., Toosarvandani 2008 for Persian; Ince 2006; 2009; 2012 for Turkish). This is controversial for Turkish, where focus is typically pre-verbal (Şener, 2012; Palaz, 2018). While I do not specifically argue for focus movement in Turkish here, I assume that wh-extraction is possible in sluicing, considering how common scrambling is in the language.

**Puzzle:**

- i. Does the presence of the copula and tense in low sluicing necessarily imply a different derivational source from high sluicing, one that does not involve movement and deletion?
- ii. What implications does the source of low sluicing have for licensing and identity requirements in ellipsis?

**Proposal:**

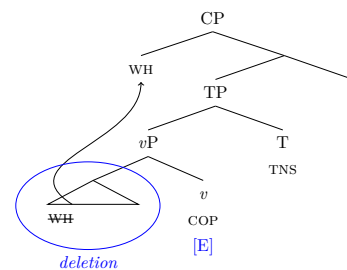
- I argue that low sluicing must involve a clausal source, not merely copular clause (aka truncated cleft) sources contra Kizu (1997), unlike languages such as Uzbek (Gribanova, 2013) or Japanese (Hiraiwa and Ishihara, 2012; Saito, 2004).
- I advance an analysis of low sluicing in Turkish which involves the deletion of a deverbal projection licensed mainly by a verbal functional head such as *v* that hosts copula – or sometimes by negation (in line with Ince 2006 and contra Palaz 2018).
  - This analysis of low sluicing serves as a support for Rudin’s (2019) claim that the domain of identity is smaller than the ellipsis site.

**2 Potential sources of low sluicing**

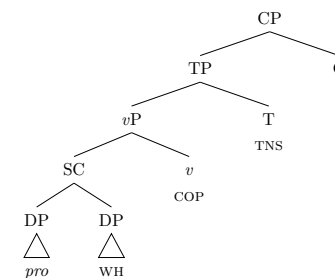
**Today**

- I consider two potential sources for low sluicing in Turkish:
  - i. a full clause analysis (FCA) in (4) due to case marking that antecedents and the wh-remnants share
  - ii. a copular clause analysis (CCA) in (5) due to copula on wh-remnants
- I argue that low sluicing must originate from a full clausal source and is not necessarily a product of copular clauses.

(4) *full clause analysis*



(5) *copular clause analysis*



**FCA and CCA are fundamentally different:**

- FCA involves the deletion of a constituent after wh-movement while CCA does not involve any deletion.
- Wh-phrases in CCA behave as the **predicates** of a copular clause with a null pronominal subject, but those in FCA are **not**.

⇒ CCA represents a plausible parse of low sluicing given how both can bear copula and tense however, it cannot be the only source for low sluicing.

**Here are three arguments why this is the case:**

i) *Adjuncthood*: Copular clauses cannot have adjunct predicates whereas wh-remnants of low sluicing can be adjuncts.

(6) A: Yağmur bir yer-de Fatih'i gör-dü.  
Yağmur one place-LOC Fatih.ACC see-PST  
'Yağmur saw Fatih somewhere.'

B: #?Ev-de-y-di.  
house-LOC-COP-PST  
INT. '(He) was at the house.' *copular clause*

B': Nere-de-y-di?  
where-LOC-COP-PST  
INT. 'Where?' *low sluicing*

ii) *Island effects*: Copular clauses contain no islands, ipso facto, no island effects whereas low sluicing is island-sensitive also as noted in Ince (2006).<sup>2</sup>

<sup>2</sup>Note that this is unusual of sluicing which is well-known to be island-insensitive (Merchant, 2001).

- (7) A: Yağmur Fatih'e [biri-nden sakla-n-an adam-ı]  
Yağmur Fatih.DAT someone-ABL hide-PASS-REL man-ACC  
göster-di.  
show-PST  
'Yağmur showed Fatih the man who was hiding from someone.'
- B: Polis-ten-Ø-di.  
police-ABL-COP-PST  
'(It) was from the police.' *copular clause*
- B': \*Kim-den-Ø-di?  
who-ABL-COP-PST  
INT. 'From who?' *low sluicing*

iii) *Multiple wh-remnants*: Low sluicing exhibits multiple wh-remnants whereas copular clauses have only one predicate position, which means that they can employ only one wh-remnant, but not multiple.

- (8) A: Yağmur biri-ne bir şey ver-di.  
Yağmur someone-DAT one thing give-PST  
'Yağmur gave something to someone.'
- B: #?Fatih'e hediye-y-di.  
Fatih.DAT gift-COP-PST  
INT. 'It was a gift for Fatih.' *copular clause*
- B': Kim-e ne-y-di?  
who-DAT what-COP-PST  
INT. 'To whom what?' *low sluicing*

Although CCA is quite a compelling source due to the occurrence of copula, the diagnostics show that FCA is **necessary** – at least in cases where CCA is unavailable.

### 3 Why FCA?

#### Under the FCA

- low sluicing involves ellipsis of a deverbal constituent smaller than a clause via wh-movement and deletion approach.

As every elliptical construction, it must be governed by two conditions:

- i) *licensing condition* = ellipsis is triggered by a functional head (Lobeck, 1995; Merchant, 2001)
  - ii) *identity condition* = elided material must be identical to its antecedent in some fashion (Sag, 1976; Fiengo and May, 1994; Lobeck, 1995; Merchant, 2001; Rudin, 2019)
- For the licensing condition, I adopt Merchant's (2001; 2005) [E]-feature
    - which instructs PF to not pronounce the complement of the functional head which hosts it
    - and instructs LF to identify an antecedent based on the identity condition
  - For the identity condition, I draw on Rudin's (2019) theory of syntactic identity, which I explain further in Section 5.

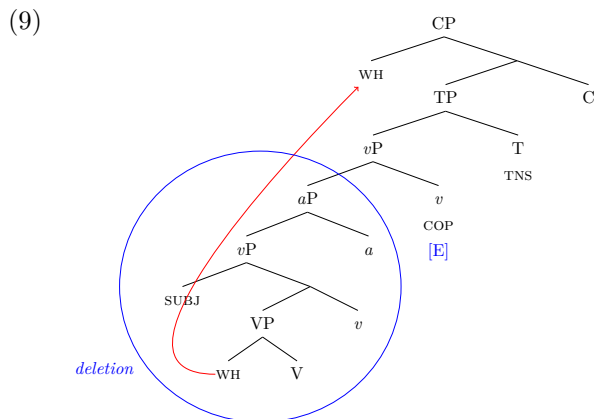
**The current analysis** builds on previous work, but it introduces new insights into the licensing and identity mechanisms of low sluicing.

- Ince (2006) has proposed AspP-ellipsis triggered by T head under a full clausal source.
- However, having significant limitations, their analysis overlooks (i) the possibility of copula as a licenser of low sluicing and (ii) the problem with the identity condition.
  - Ince (2006) implicitly assumes that the copula occupies T for purely morphological reasons based on the view that it requires a host and must attach to tense as a bound support morpheme (Kornfilt, 1996, 1997).

I however show that copula **cannot be** merely a support morpheme due to the independent evidence from the verbal domain in Turkish.

**This claim leads to two consequences for the current proposal:**

- i. Copula can be a potential licenser of low sluicing when analyzed syntactically.



ii. FCA requires some syntactic non-isomorphism where the wh-remnant **always** bear copula, but the antecedent does not.

Let us go over the basics of verbal complex in Turkish, and see how these play out.

### 3.1 Verbal domain in Turkish

#### Getting started...

- As an agglutinating language, Turkish exhibits rich inflectional morphology, with suffixes appearing in complex combinations as either phonologically null or overt.
- These inflectional suffixes generally include – based on their surface order:

negation → TAM → copula → agreement

(10) Git-**ti**-m.  
go-PST-1SG  
'(I) went.'

- TAM markers are in general classified into three sets based on their behavior and combinations (Enç, 2004; Keleşir, 2001; Sag, 1976; Keleşir, 2021):

(11)

	Set 1	Set 2	Set 3
verb root	modality: <i>-A</i> modality: <i>-Abİl</i>	perfect: <i>mİş</i> future: <i>-(E)cEk</i> imperfective: <i>-(I)yOr</i> aorist: <i>-İr</i> necessity: <i>-mAlI</i>	past: <i>-DI</i> evidential: <i>-mİş</i> conditional: <i>-sE</i>

- Set 2 TAM markers are only observed on verbs.<sup>3</sup>

(12) a. **Gid-iyor-∅-um.**  
go-IPFV-COP-1SG

'(I) am going.'

b. \*Ucuz-**uyor**-∅.  
cheap-IPFV-COP

INT. 'It is being cheap.'

- When a Set 2 marker and a tense marker co-occur, a copula is inserted between them:<sup>4</sup>

(13) **Gid-iyor-∅-du**-m.  
go-IPFV-COP-PST-1SG

'(I) was going.'

- To test copula's presence, its cliticized forms (null or *-y-*) can be replaced with its free form *i-*:

(14) **Gid-iyor i-di**-m.  
go-IPFV COP-PST-1SG

'(I) was going.'

- While copula **is not** allowed on just a verbal predicate without a suffix from Set 2, it **must occur** on predicative nouns or adjectives:

<sup>3</sup>While some Set 2 suffixes, such as *-mİş* (perfect) and *-(E)cEk* (future), can function as participles, this distinction does not affect the distribution of the copula.

<sup>4</sup>Copula is not overt after a consonant. It is realized as *-y-* after a vowel (Kornfilt, 1997).

- (15) a. \*Git **i-di-m**.  
go COP-PST-1SG  
INT. ‘(I) went.’
- b. Ucuz-Ø-**du**.  
cheap-COP-PST  
‘(It) was cheap.’

### Generalization:

- Copula attaches to non-verbal stems, which can be:
  - a verb affixed with a marker from Set 2, or
  - a predicative noun or adjective.
    - This explains why wh-remnants in low sluicing surface with a copula.
- The occurrence of copula is not limited to copular clauses despite often being analyzed as a support morpheme hosted by the T head (Kornfilt, 1996, 1997).

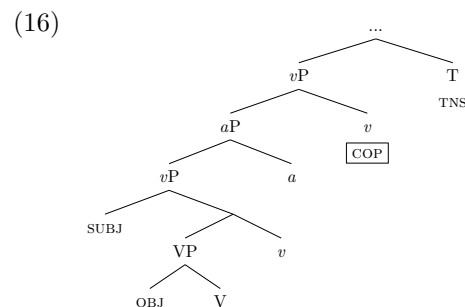
Suffixes in Set 2 appear to transform verbs into non-verbal elements, which the copula subsequently follows to re-verbalize. I refer to these markers as *non-verbal suffixes* and propose that they are projected through a non-verbal head, *a*.

### 3.2 Copula as a Functional Head

#### Upshot:

- The copula in Turkish attaches to all non-verbal elements and can carry verbal inflection, such as past tense markers (Sağ, 2013; Zanon, 2014; Kelepir, 2021).

Unlike the old assumption that copula is a support morpheme, I adopt the idea that the copula serves as a *verbalizer* and is hosted by a functional head *v*, located higher than non-verbal suffixes but lower than tense markers (Sağ, 2013; Kelepir, 2021), and surfaces whenever an adjectival layer i.e., *aP* is present.



### Is there any empirical evidence for copula being more than merely a support morpheme?

There is.<sup>5</sup>

- i) *Positioning of the Question Particle*: The position of *mI* differs depending on the presence of a copula:

- In copular forms, *mI* precedes person agreement (17a).
- In non-copular forms, *mI* follows person agreement (17b).

- (17) a. **Gid-iyor mu-y-du-n?**                      b. **Git-ti-n mi?**  
go-IPFV Q-COP-PST-2SG                      go-PST-2SG Q  
‘Were you going?’                      ‘Did you go?’

- Even when tense is not overtly realized, the position of *mI* depends on the copula (18).

- (18) a. \***Gid-iyor-Ø-sun mu?**                      b. **Gid-iyor-Ø mu-sun?**  
go-IPFV-COP-2SG Q                      go-IPFV-COP Q-2SG  
INT. ‘Are you going?’                      ‘Are you going?’

- ii) *Change in Stress Patterns*: Non-copular forms follow regular word-final stress pattern, but stress is **exceptionally** penultimate in copular forms (Zanon, 2014).

<sup>5</sup>See Zanon (2014) for a more detailed discussion.

- (19) a. **Git-tí-m.**  
go-PAST-1SG  
'I went.'
- b. **Gid-iyór-Ø-um.**  
go-IPFV-COP-1SG  
'I am going.'

- Such data suggest that the copula is not merely a support morpheme on T, and must have a separate morphological or syntactic analysis.

### Some implications for the ellipsis theory...

As an independent functional head, copula

- can serve as a licenser, enabling low sluicing to parallel VPE, thereby expanding the range of potential licensers in sub-clausal ellipsis (contra Ince 2006)
- contributes to the inventory of possible mismatches in ellipsis, providing further evidence for the minimal constituent that the identity condition targets.

## 4 Exploring the predictions

Under the FCA, the claim that functional heads license the deletion of their complements yields some correct predictions for low sluicing:

- i) *Island Sensitivity*: Low sluicing must be unavailable when the correlate in the antecedent is within an island, as the extraction of a wh-phrase out of an island would result in unacceptability.
- FCA accounts for the island sensitivity of low sluicing effectively exemplified below repeated from (20) unlike CCA.

- (20) A: Yağmur Fatih'e [biri-nden sakla-n-an adam-ı]  
Yağmur Fatih.DAT someone-ABL hide-PASS-REL man-ACC  
göster-di.  
show-PST  
'Yağmur showed Fatih the man who was hiding from someone.'
- B: Polis-ten-Ø-di.  
police-ABL-COP-PST  
'(It) was from the police.' *copular clause*
- B': \*Kim-den-Ø-di?  
who-ABL-COP-PST

INT. 'Who was it from?'

*low sluicing*

- However, high sluicing, being **island-insensitive**, requires a different explanation:
    - it relies on copular clauses as argued for English (Barros et al., 2014) or
    - it repairs island violations as discussed in Ross (1969) and Merchant (2001)
  - Whatever the explanation for high sluicing is, it is beyond the scope of this work (but see Ince 2012 for Turkish).
- ii) *Compatibility with Higher Material*: Elements outside of *aP*, the deleted constituent must survive deletion, as they are not part of the ellipsis site.
- Complementizer *diye* ('that') and particle *ki* ('though') in Turkish are hosted on C, higher than copula (Ince, 2012; Gündoğdu, 2017; Palaz, 2018). They follow wh-remnants of low sluicing:

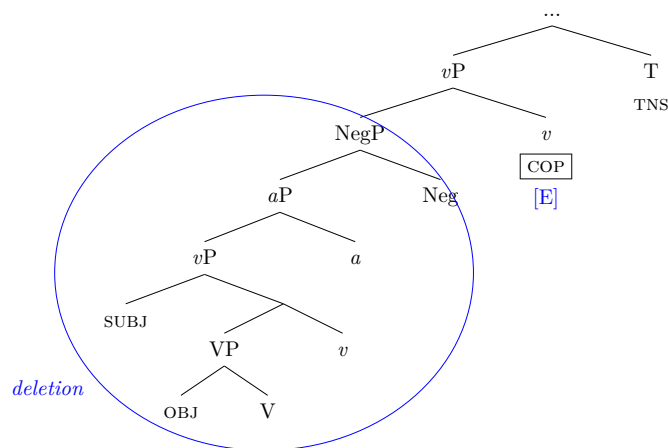
- (21) Ali **birin-e<sub>i</sub>** şeker ver-di ama **kim-e-y-di<sub>i</sub>** **diye**  
Ali someone-DAT candies give-PST but who-DAT-COP-PST COMP  
sor-ma-dı-m.  
ask-NEG-PST-1SG  
'Ali gave candies to someone, but I didn't ask who.'
- (22) Ali **birin-e<sub>i</sub>** şeker ver-di ama **kim-e-y-di<sub>i</sub>** **ki**  
Ali someone-DAT candies give-PST but who-DAT-COP-PST PART  
bil-m-iyor-um.  
know-NEG-IPFV-1SG  
'Ali gave candies to someone, but I don't know who, though.'

- iii) *Compatibility with Lower Material*: Elements outside of *aP*, the deleted constituent cannot survive deletion, as they are part of the ellipsis site.
- Negation *değil* 'not', a high negation in Turkish, precedes elements like tense, the question particle, and agreement. Its order in (23) reflects its hierarchical position below the licenser copula:

- (23) **Gid-ecek değil** i-di.  
go-FUT not COP-PST  
'It was not the case that they would go.'

- If the suffix order reflects the hierarchical structure (Baker 1985), *değil* is structurally lower than the licenser copula. Thus, *değil* is predicted to be deleted along with the ellipsis site:

(24)



- Then, the wh-remnants of low sluicing in Turkish must felicitously appear without the negation *değil* in the presence of a negated antecedent, however, they are infelicitous:

(25) A: Ali **birin-e<sub>i</sub>** kız-mış değil-Ø-di.  
Ali somebody-DAT get.mad-PERF not-COP-PST  
'It was not the case that Ali got mad at someone.'

B: \***Kim-e-y-di<sub>i</sub>?**  
who-DAT-COP-PST  
INT. 'Who?'

- Surprisingly, low sluicing becomes acceptable when the negation *değil* follows the wh-remnant *kime*. Note that the correlate of the wh-remnant in (26) receives a very specific interpretation for this type of felicitous low sluicing.

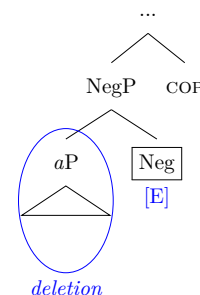
(26) **Kim-e<sub>i</sub>** değil-Ø-di?  
who-DAT not-COP-PST  
LIT. 'Who wasn't it?'

- In other words, *değil* must in fact escape the deletion and surface with the wh-remnants of low sluicing, but the current proposal does not account for how an element lower than the licenser survives the deletion.

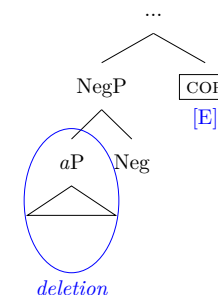
### How do we account for the survival of high negation?

- Two different ways to explain why *değil* survives with the remnant:
  - Local Licensing*: The [E]-feature is on the Neg head *değil* and licenses deletion of its local complement: (27)
    - in line with Merchant's (2001) theory of ellipsis that *requires* [E]-feature to be subject to locality in selecting what the ellipsis-site is
  - Non-Local Licensing*: The [E]-feature on copula targets a non-local complement (aP) instead of its local complement: (28)
    - under sufficient empirical evidence for this alternative, the [E]-feature becomes theoretically inadequate since it is not quite clear how a feature strictly based on locality under Merchant's (2001) theory of ellipsis can trigger the deletion of a distant element.<sup>6</sup>

(27) *Local Licensing*



(28) *Non-Local Licensing*



<sup>6</sup>It might be claimed that the negation *değil* always undergoes obligatory head movement to *v* head to eliminate a need for either of these alternatives. The head movement leads *değil* to be high enough to escape the deletion when the copula licenses the deletion of NegP. This seems feasible especially given how it follows from the locality of [E]-feature, however I do not see a reason why a free standing morpheme would undergo such a movement.

Despite no definitive argument favoring one alternative over the other, I assume a local licensing account consistent with the locality of the [E]-feature. Further exploration of non-local licensing remains an avenue for future research.

## 5 Figuring out the identity

In ellipsis theory, a key question is the extent to which the deleted constituent must be identical to its antecedent.

i) *syntactic isomorphism* i.e., a full clausal source (Ross, 1969; Fiengo and May, 1994; Merchant, 2001; Vicente, 2018)

(29) Somebody<sub>i</sub> just left – guess who<sub>i</sub> [<sub>TP</sub> *t<sub>i</sub>* just left].

ii) *syntactic non-isomorphism* i.e., cleft sources (Vicente, 2008; Van Craenenbroeck, 2010a; Barros, 2012, 2014)

(30) Somebody<sub>i</sub> just left – guess who<sub>i</sub> [<sub>TP</sub> it is].

The identity condition on sluicing requires the elided material to be identical to its antecedent in some fashion for the missing element to be recoverable at LF.

- i. *syntactic identity*: structural and lexical isomorphism between the antecedent clause and the missing element (Ross, 1969; Sag, 1976; Fiengo and May, 1994; Chung et al., 1995; Rudin, 2019, a.o.)
- ii. *semantic identity*: Schwarzschild’s (1999) *e-GIVENness* (Merchant, 2001; Van Craenenbroeck, 2010b, a.o.)
- iii. *hybrid approach*: identity both syntactically and semantically to some extent (Chung, 2013; Merchant, 2013; Barros, 2014)

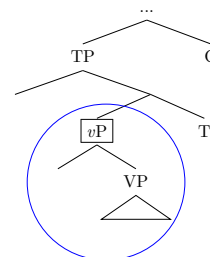
Ellipsis can fail due to syntactic mismatches even when semantic identity appears intact, highlighting significant challenges with the widely favored semantic identity approach.

**On the other hand, low sluicing in Turkish poses a challenge to fully syntactic identity...**

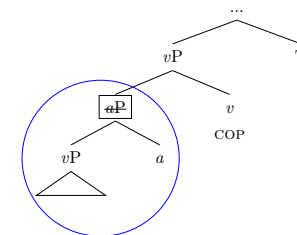
- Both the current proposal and Ince’s (2006) analysis encounter issues with the identity requirement between the ellipsis site and its antecedent.
- In the current proposal, the presence of the copula depends on a non-verbal projection (*aP*) serving as the ellipsis site.
- If the antecedent clause lacks a copular verb, it also lacks an *aP* layer, creating a mismatch with the ellipsis site.

(31) A: Yağmur **biri-ne<sub>i</sub>** kız-dı.  
Yağmur somebody-DAT get.mad-PST  
‘Yağmur got mad at someone.’  
B: **Kim-e<sub>i</sub>-y-di?**  
who-DAT-COP-PST  
INT. ‘Who?’

(32) *Antecedent*



(33) *Ellipsis-site*



- Similarly, Ince’s analysis faces a mismatch when the antecedent lacks an AspP layer due to the absence of an aspect marker or copula, violating the identity requirement.

### 5.1 A potential solution

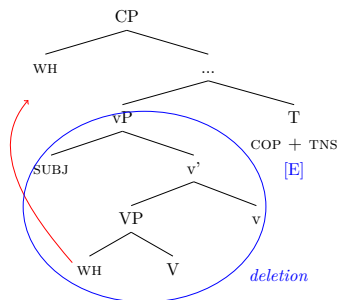
A third possible source, which I term the *Copula Insertion Analysis* (CIA), could resolve this identity issue:

- The T head takes a *vP* complement only when it licenses the deletion of this complement.
- This triggers the insertion of the copula in the T head, analogous to *do*-insertion in English.



- Consequently, the identity requirement between the ellipsis-site and the corresponding constituent is maintained.

(34) *Copula Insertion Analysis (CIA)*



While CIA resolves the syntactic mismatch between the ellipsis-site and the antecedent, it raises some concerns.

**Conceptual challenges of CIA:**

- Copula is not observed in non-elliptical contexts with only a *vP*. It exclusively appears with non-verbal constituents (e.g., *aP*).
- This means that under CIA, the T head must take a *vP* complement **only when** it licenses deletion of this constituent.
- This requirement makes CIA fairly abstract and raises questions about whether the proposed derivation is a plausible parse for low sluicing.

**Empirical challenges of CIA:**

- High negation, marked by *değil* ‘not’ can only follow non-verbal forms (*aPs*) and is incompatible with verbal forms (*vPs*).

- (35) a. *Gid-iyor değil-Ø-im.*                      c. \**Git-ti değil-Ø-im.*  
go-IPFV not-COP-1SG                              go-PST not-COP-1SG  
‘It is not that I am going.’                      INT. ‘It is not that I went.’
- b. \**Git değil-Ø-di-m.*  
go not-COP-PST-1SG  
INT. ‘It is not that I went.’

- This pattern is also observed with other non-verbal forms, such as adjectives and DPs.

- (36) *Hediye çok ucuz değil i-di.*  
gift very cheap not COP-PST  
‘The gift wasn’t very cheap.’

- Recall from Section 4 that *değil* ‘not’ can appear with wh-phrases and survive with the remnants, indicating that the ellipsis site **must include** an *aP* projection for negation to occur.
- CIA as a derivational source fails to meet this requirement due to its lack of an *aP* layer.

**5.2 Solution: head-based syntactic identity**

Instead of a rigid syntactic match between the ellipsis-site and the corresponding constituent, I adopt Rudin’s (2019) *head-based syntactic identity* where:

- identity is not assessed over the entire ellipsis site but *head-by-head* for each stranded head
- mismatches associated with moved material are allowed, as such material does not affect the syntactic identity calculation

Rudin (2019) formalizes this approach as follows:

(37) *Syntactic condition on sluicing*

Given a prospective ellipsis site **E** and its antecedent **A**, non-pronunciation of the phonological content associated with any head *h*  $\in$  **E** is licit if at least one of the following conditions holds:

- h* did not originate within **E**’s eventive core
- h* has a structure-matching correlate *i*  $\in$  **A**.

Showing possible mismatches between the antecedent and the ellipsis-site in voice, tense, modality, polarity and finiteness, Rudin (2019) claims that the domain of syntactic identity is restricted to the eventive core stated as follows:

(38) *Eventive core*

The eventive core of a clause is its highest *vP* that is associated with an event-introducing predicate.

In line with the idea that the identity is restricted to the highest *vP* that introduces an event, I argue that the identity in low sluicing in Turkish is also restricted to the lower *vP*.

- Only material originating within the verbal domain (eventive core) is subject to identity and material above the verbal complex can be mismatched.
- In other words, the mismatches that occur above the *vP*, which includes the *aP* projection, does not pose a problem for the identity condition.

## 6 Conclusion

In this talk, I have investigated (i) what the source(s) for low sluicing is, (ii) the possible licensers of ellipsis and (iii) the ways to solve the identity problem.

I have argued that low sluicing

- i. is best analyzed under a full clause analysis where the deletion of a non-verbal constituent is triggered by a verbal head,
- ii. is akin to VPE in terms of licensing i.e., multiple licensers are possible
- iii. supports Rudin's (2019) proposal that the identity is assessed over the eventive core.

There are still issues that need to be addressed in the further steps:

- the interaction between the position of subject in Turkish and low sluicing
- how island-sensitivity of low sluicing is explained under the deletion analysis licensed by copula
- if *low sluicing* is restricted to wh-phrases or can be extended to any DP

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